

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): **Tomoyuki FURUHATA**For: **SEMICONDUCTOR DEVICES HAVING A NON-VOLATILE MEMORY
TRANSISTOR AND METHODS FOR MANUFACTURING THE SAME**

Enclosed are:

- ☒ 26 Pages of text and 16 Sheet(s) of drawings (___ formal ☒ informal) + 0 extra copies
- ☒ A certified copy of 2000-382396, filed 15 December 2000, in Japan, from which priority is claimed in the subject case pursuant to Rule 55(b) and 35 USC 119. ___ Will follow.
- ___ Declaration and Power of Attorney. ☒ Will Follow.
- ___ Information Disclosure Statement including PTO Form 1449 and copies of ___ references.

CALCULATION OF FEES					
ITEM		NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE x RATE SM/LG ENTITY	\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	27 - 20* =	x \$9.00 or 7 x \$18.00	\$126	
B	INDEPENDENT CLAIMS FEE**	9 - 3* =	x \$42.00 or 6 x \$84.00	\$504	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				\$630.00
D	BASIC FEE – SMALL ENTITY FEE = \$370.00 / LARGE ENTITY FEE = \$740				\$740.00
E	MULTIPLE-DEPENDENT CLAIMS FEE – SMALL ENTITY FEE = \$140.00 /LARGE ENTITY FEE = \$280				\$0
F	ASSIGNMENT RECORDING FEE \$ 40.00				\$0
G	TOTAL FEE (ADD LINES C, D, E AND F)				\$1370.00
	**LIST INDEPENDENT CLAIMS 1, 6, 10, 11, 15, 23, 24, 25, 27				

☒ A check in the amount of \$ 1370.00 to cover the filing fee is enclosed. A copy of this letter is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Alan S. Raynes
Alan S. Raynes, Reg. No. 39,809

Date: December 14, 2001

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10/014584
Jc971 U.S. PTO

12/14/01 J1059 U.S. PTO

15.57/6348

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of)
Tomoyuki FURUHATA)
Serial No.: To Be Assigned)
Filing Date: December 14, 2001)
For: SEMICONDUCTOR DEVICES HAVING)
A NON-VOLATILE MEMORY)
TRANSISTOR AND METHODS FOR)
MANUFACTURING THE SAME)

CERTIFICATE OF DEPOSIT

Assistant Commissioner for Patents
Washington, DC 20231

Date of Deposit: December 14, 2001

I hereby certify that a utility patent application and patent application fee transmittal;
patent application including 26 pages of text (including an abstract and 27 claims),
16 sheets of drawings; an \$1370 check for the filing fee; and a certified copy of application
number 2000-382395, filed 15 December 2000, in Japan; are being deposited with the United
States Patent & Trademark Office on the date indicated above.

December 14, 2001
Date of Deposit

Alan S. Raynes
Name of person delivering papers

Alan S. Raynes
Signature